JUN 1 5 2007 Please type a plus sign (+) inside this box →

06-18-07

1, 10 10

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

				Application Number	10/547,999	
TDANGETTAL				Filing Date	September 7, 2006	
	TRANSMITTAL			First Named Inventor	PORTNOY, DANIEL A.	
FORM				Group Art Unit	1645	
	(to be used for all	l correspondence after ini	tial filing)	Examiner Name	Not Yet Assigned	
	Total Number o	f Pages in This Submissi	on 6 + Refs	Attorney Docket Number	BERK-017CIP	
				ES (check all that apply)		
	Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request		Assign (for an Drawir Licens Petition Provisi Power Chang Addres Termir Reque	iment Papers Application) ing(s) ing-related Papers in in to Convert to a ional Application of Attorney, Revocation e of Correspondence	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): SB08A (1 pg); Copy of Australian Examination Report dated May 17, 2007 (3 pg); References (5); Return receipt postcard	
Response to Missing Parts under 37 CFR 1.52 or 1.53		Remarks	3.700.			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
(Reg. No	Signing Attorney/Agent (Reg. No.) Signature BRET E. FIELD, 37, BOZICEVIC, FIELD				•	
Date June 15, 2007						

EXPRESS MAIL LABEL NO. EV 687 639 405 US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Express Mail No. EV 687 639 405 US

INFORMATION DISCLOSURE STATEMENT

UNDER 37 C.F.R. § 1.97(e)

Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

1411 1101 E 7 007 057 105 CS					
Attorney Docket	BERK-017CIP				
First Named Inventor	PORTNOY, DANIEL A.				
Application Number	10/547,999				
Confirmation No.	6366				
Filing Date	September 7, 2006				
Group Art Unit	1645				
Examiner Name	Not Yet Assigned				
Title: "CITE CDECIE	IC LISTEDIA INTEGDATION VECTODS				

Title: SITE SPECIFIC LISTERIA INTEGRATION VECTORS AND METHODS FOR USING THE SAME"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. BERK-017CIP.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>June 15, 2007</u>

BOZICEVIC, FIELD & FRANCIS LLP 1900 University Avenue, Suite 200 East Palo Alto, California 94303 Telephone: (650) 327-3400

Facsimile: (650) 327-3231

By:

Bret E. Field, Reg. No. 37,620

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known			
	•		Application Number	10/547,999			
ı	NEODMA.	TION	DISCLOSURE	Filing Date	September 7, 2006		
-		–		First Named Inventor	PORTNOY, Daniel		
STATEMENT BY APPLICANT (Use as many sheets as necessary)				Art Unit	1645		
				Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	BERK-017CIP		

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. 1	Document Number Number-Kind code ^{2 (if known)}	Publication Date MM-DD-YYY	Name of Patentee or Applicant of Cited Document	Page, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-				
		US-				
		US-				

FOREIGN PATENT DOCUMENTS							
Examiner Initials *	Cite No. 1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
IIIIIIII	140.	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Or Relevant Figures Appear	T ⁶	
		WO 02/08431	01-31-2002	FRANCIS KP, et al			
			•				

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials * Cite No. ' Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages), volume-iss number(s), publisher, city and /or country where published.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages), volume-issue number(s), publisher, city and /or country where published.	T ²	
		AUTRET N et al "Identification of New Genes Involved in the Virulence of <i>Listeria monocytogenes</i> by Signature-Tagged Transposon Mutagenesis" Infection and Immunity (Apr 2001) 69(4):2054-2065		
		FORTINEAU N et al "Optimization of green fluorescent protein expression vectors for in vitro and in vivo detection of <i>Listeria monocytogenes</i> " Res Microbiol (2000) 151:353-360		
		SCHAFERKORDT S et al "Vector Plasmid for Insertional Mutagenesis and Directional Cloning in Listeria spp" Bio Techniques (Nov 1995) 19:720-725		
		SHEN H et al "Recombinant <i>Listeria monocytogenes</i> as a live vaccine vehicle for the induction of protective anti-viral cell-mediated immunity" Proc Natl Acad Sci USA (Apr 1995) 92:3987-3991		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a checkmark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.